CSE 5095 & ECE 4451 & ECE 5451 – Spring 2017

Lecture 2b

Computer Architecture Background

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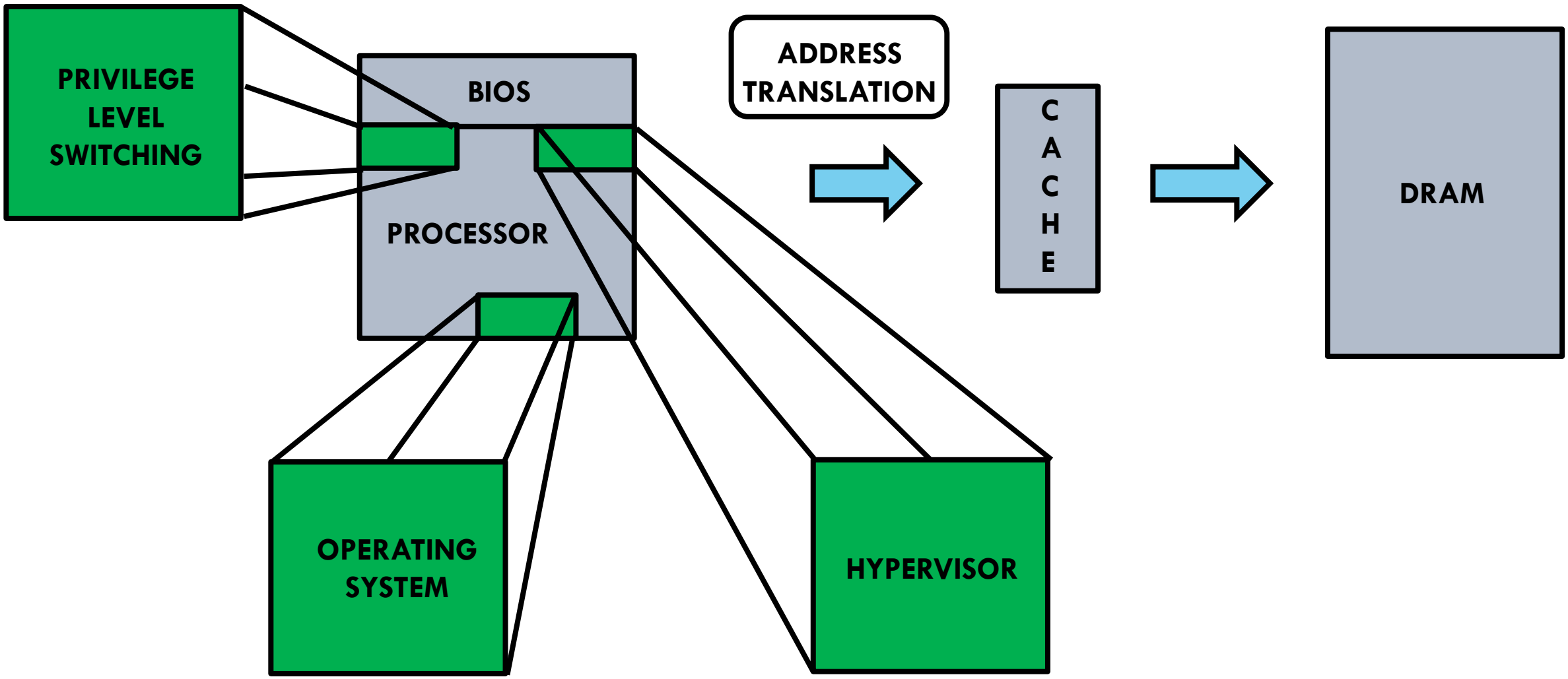
Slide deck originally developed by Hamza Omar during ECE 6095 Spring 2017 on Secure Computation and Storage, a precursor to this course

SECTIONS

- **OVERVIEW.**
- Computational Model.
- Software Privilege Levels.
- Address Translation.
- Execution Contexts.
- Segment Registers.
- Privilege Level Switching.
- OOO and Speculative Execution.
- Caches.

OVERVIEW

- Summarizing general architectural principles behind Intel's most popular computer processors.
- We will discuss Intel's 64-bit version of x86 processor designed by AMD, also known as AMD64.
- Intel computers typically run **Operating systems** and **Hypervisors**.
- **Operating System:** Allocates the computer's resources to the running processes.
- **Hypervisor:** Partitions the computer's resources between the operating system instances running on the computer. It exposes fixed number of virtual CPUs (vCPUs) to each operating systems.
- System software uses **virtualization techniques** like address translation and setting software privilege levels, to isolate each piece of software that it manages (process or operating system) from the rest of the software running on the computer.
- **Execution Core vs. DRAM.** (caches)
- Reference: Intel's Software Development Manual (SDM) and "SGX Explained"


PRIVILEGE LEVEL SWITCHING
BIOS
PROCESSOR
ADDRESS TRANSLATION
CACHE
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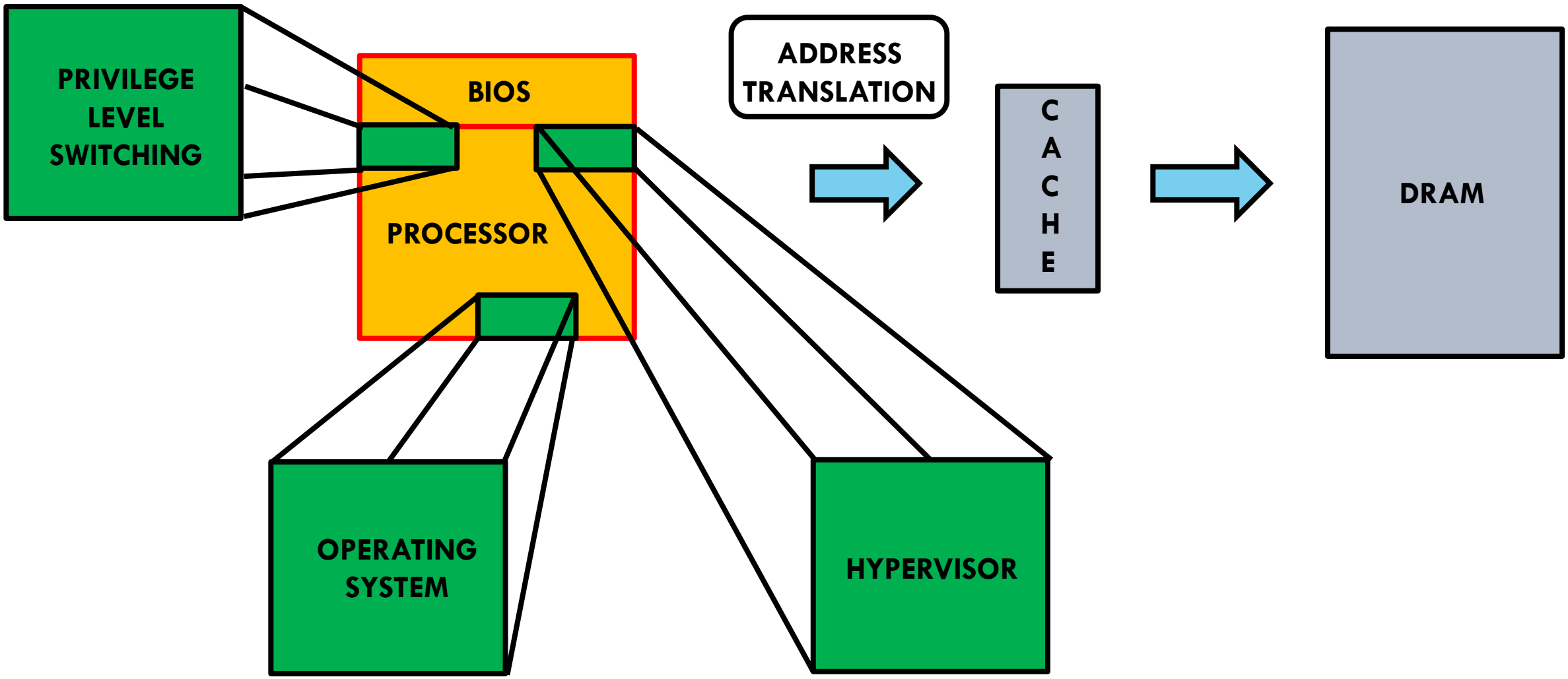
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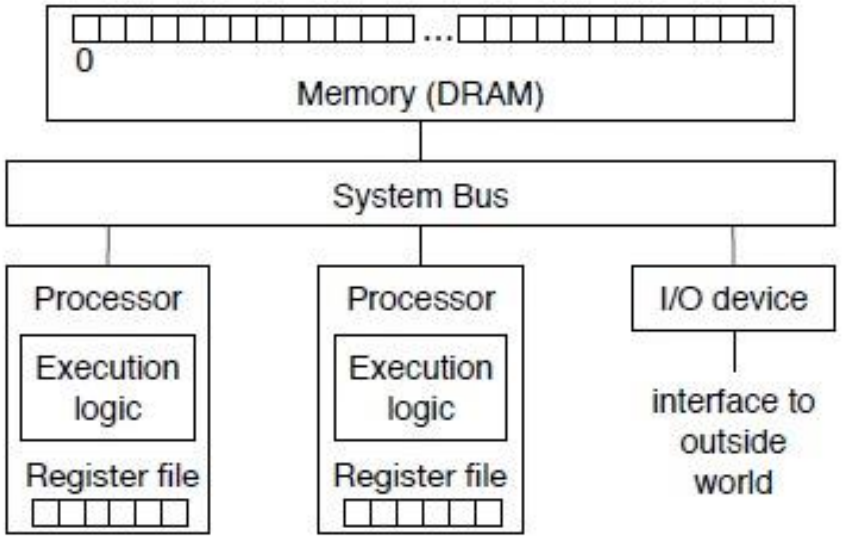
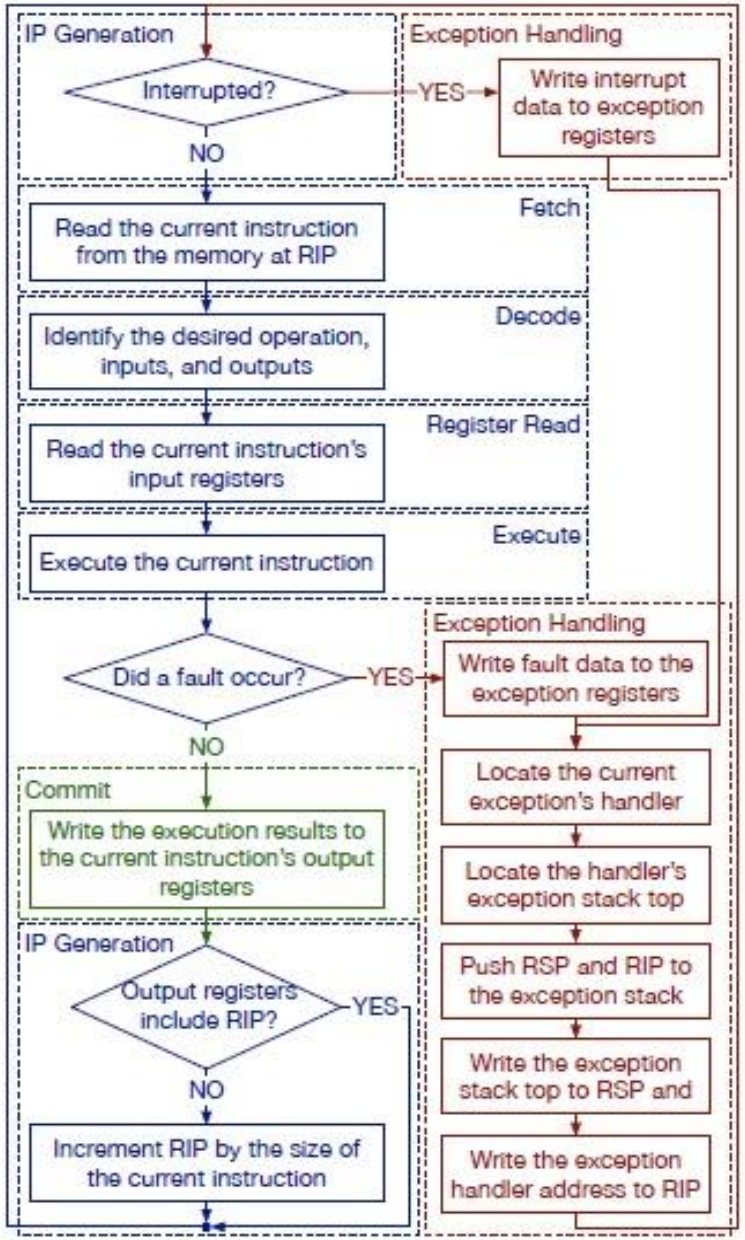
COMPUTATIONAL MODEL

- In a computer system, the processor(s), DRAM and all the outside world interfaces communicate with each other through a common system bus (broadcast network).
- During each clock cycle, at most one of the devices connected to the system bus can send a message **(Read-Request/Read-Response).** Each device attached to the bus decodes the operation codes and addresses of all the messages sent on the bus and ignores when they do not require it.



COMPUTATIONAL MODEL

- Computation like ADD A, B, C.
- **RIP** (Instruction Pointer Register): Stores Next Address.
- **RSP** (Stack Pointer Register): Address of Top Most Element.
- INTEL uses variable sized instruction encoding.
- In case of faults, **Exception handler** is called.
- We do not explain how I/O devices and Interrupts are dealt with.



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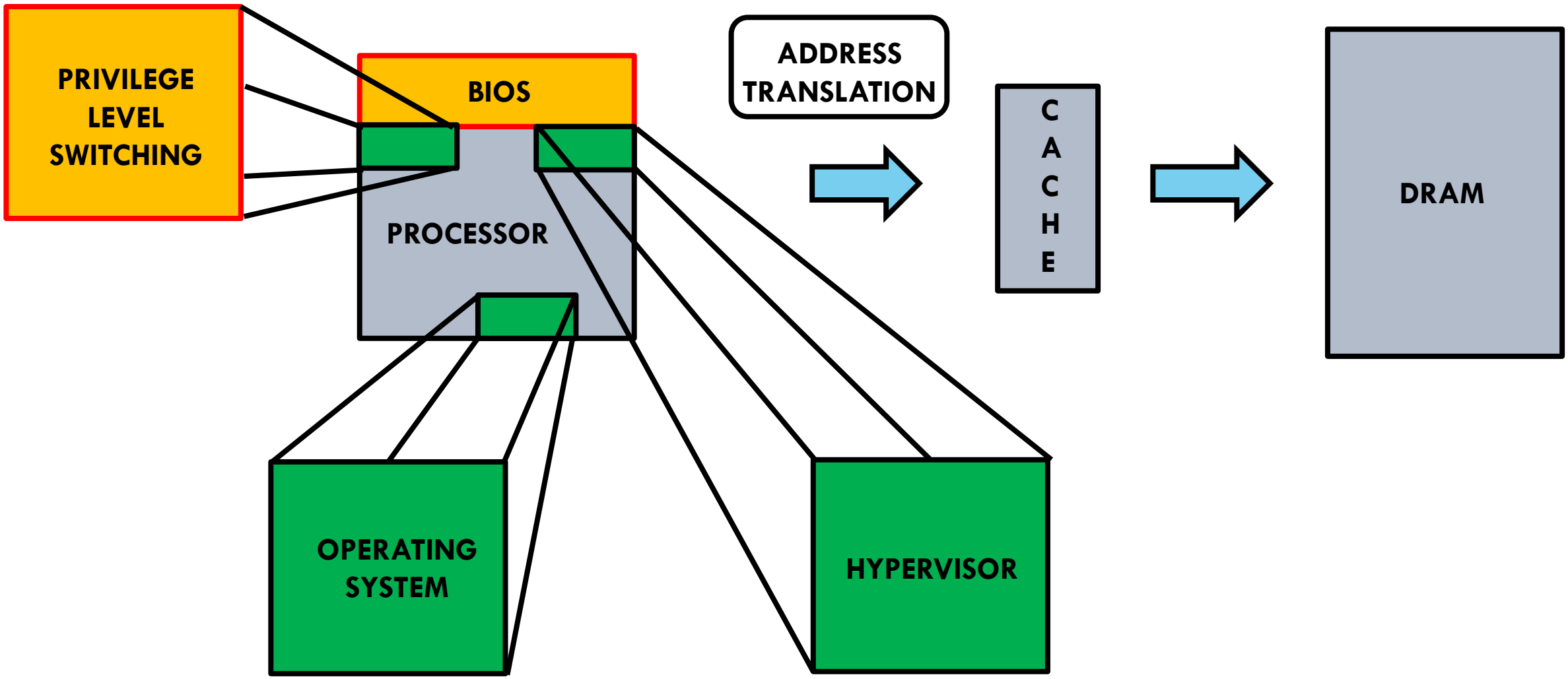
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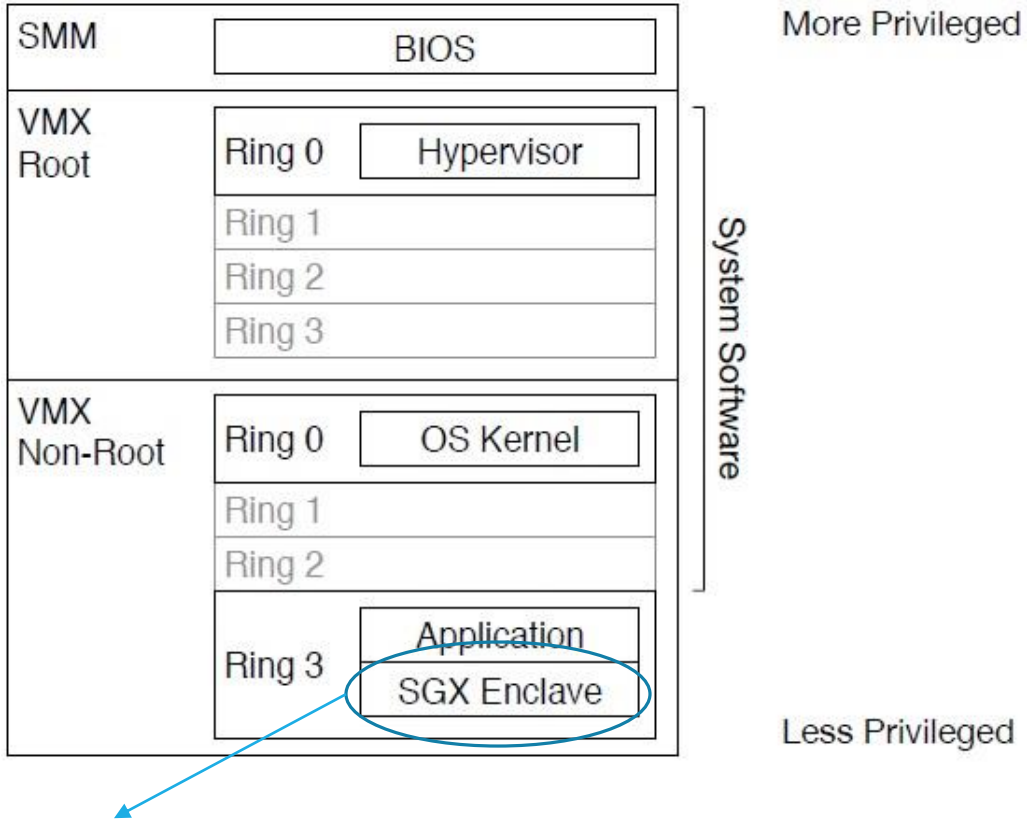
SOFTWARE PRIVILEGE LEVELS

- Commodity CPUs (x86) run software at **four** different privilege levels. Each privilege level is more powerful than the one's below.
- Trust at higher privilege software.
- **SMM** (Motherboard Manufacturers Region).
  - Fan Control, Deep Sleep, Boot-Strapping.
- (Virtual Machine Extension) **VMX-Root.**
  - **Hypervisor** runs at **ring0** allocates resources.
  - It makes each OS to believe it is using its own CPU.
- **VMX-Non-Root.**
  - **OS into small Kernels –** at higher privilege level.
  - **Ring 3 –** Application code ,Web-server or game client.



Part of Intel SGX: Secure execution of an application module.
If executed at a high privilege level, then a malicious application module can do a lot of harm.

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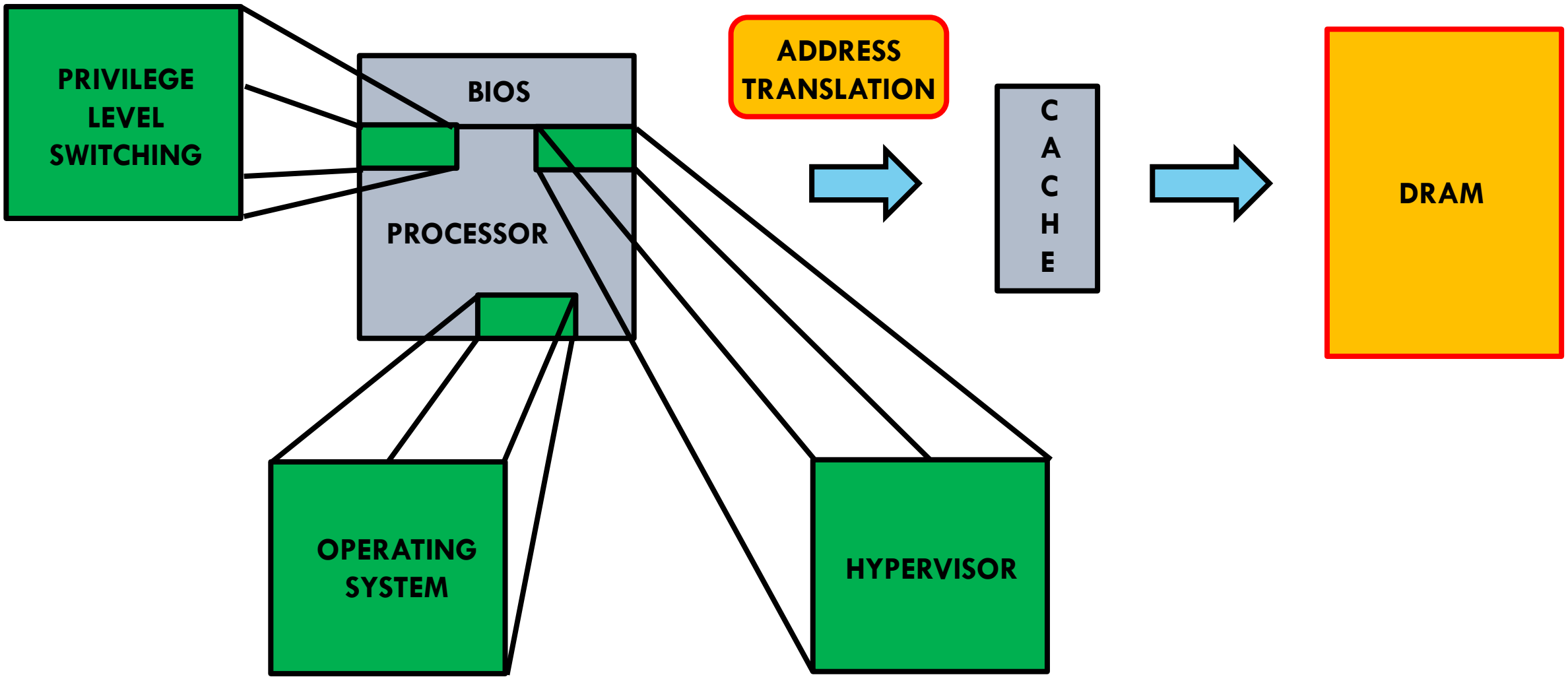
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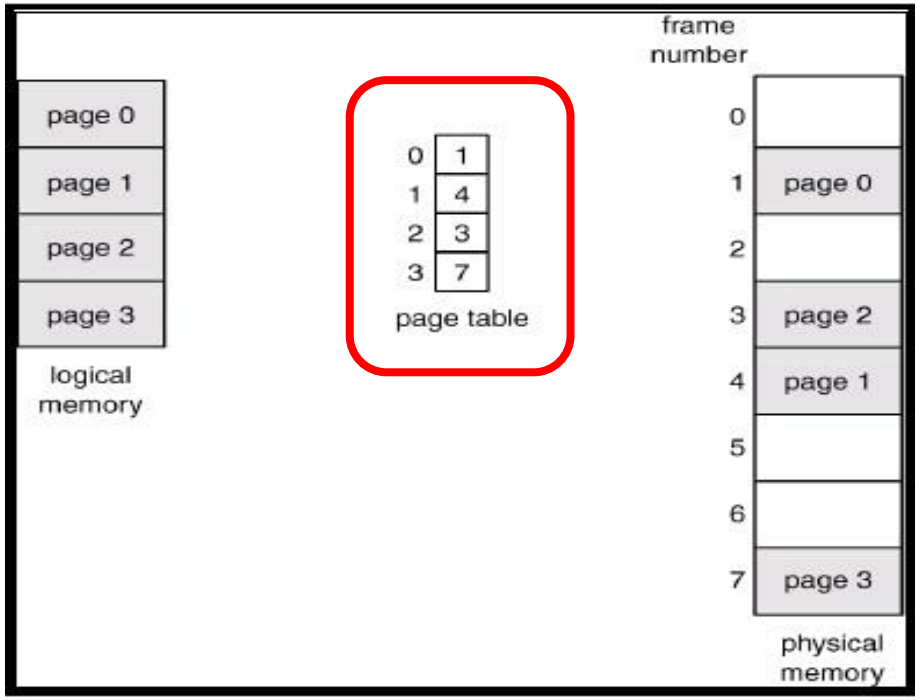
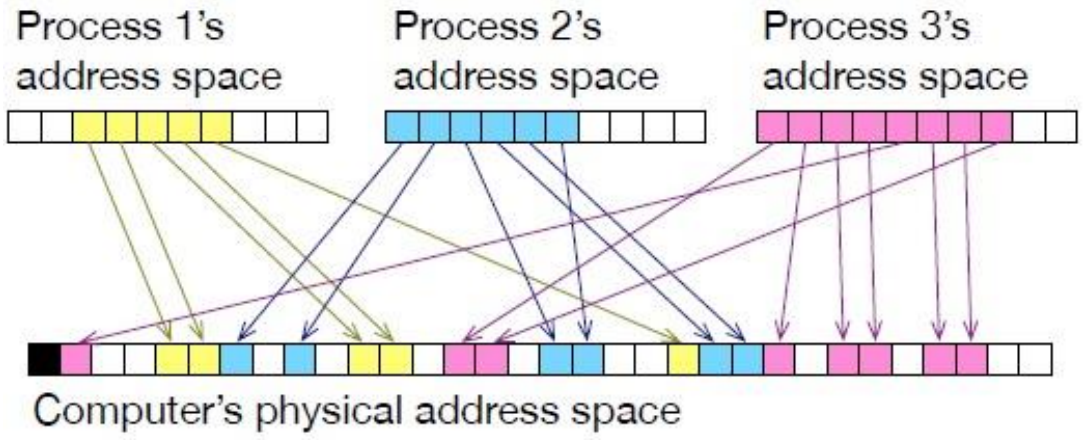
ADDRESS TRANSLATION
Pages & Page Tables

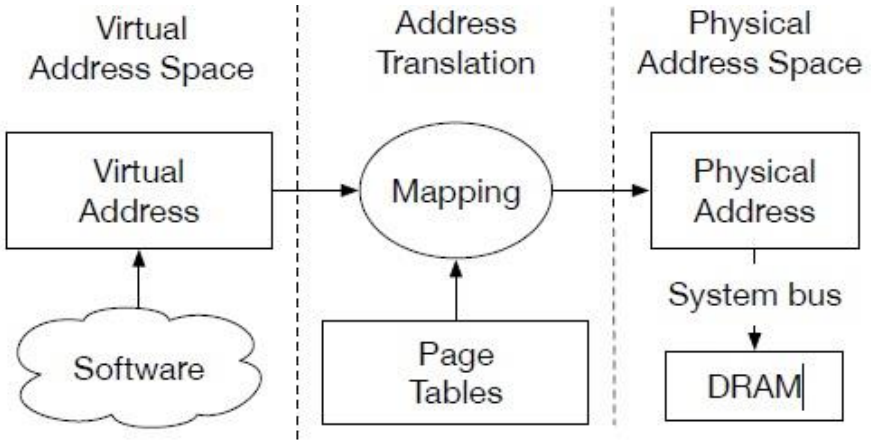
- Logical Address space is very large (64 bit has sixteen quintillion bytes). On the other hand our DRAM also becomes expensive, as the size increases when we need to store large amount of data.
- The logical address space is converted to fixed size blocks called **pages** similarly, the DRAM space is converted to **frames.**
- In practice, the process of paging becomes more complex: We extend our page tables to hierarchical page tables, where *one page table points to the other page table and this process goes on until we get the actual physical address.*



ADDRESS TRANSLATION
Concepts

- System software relies on the CPU's address translation mechanism for implementing isolation among less privileged pieces of software (applications or operating systems).
- **Virtual Memory Abstraction**
  - Each process gets a separate virtual address space that references the memory to that process.
  - OS multiplexes the DRAM between processes, while developers see as if it owns the entire memory.



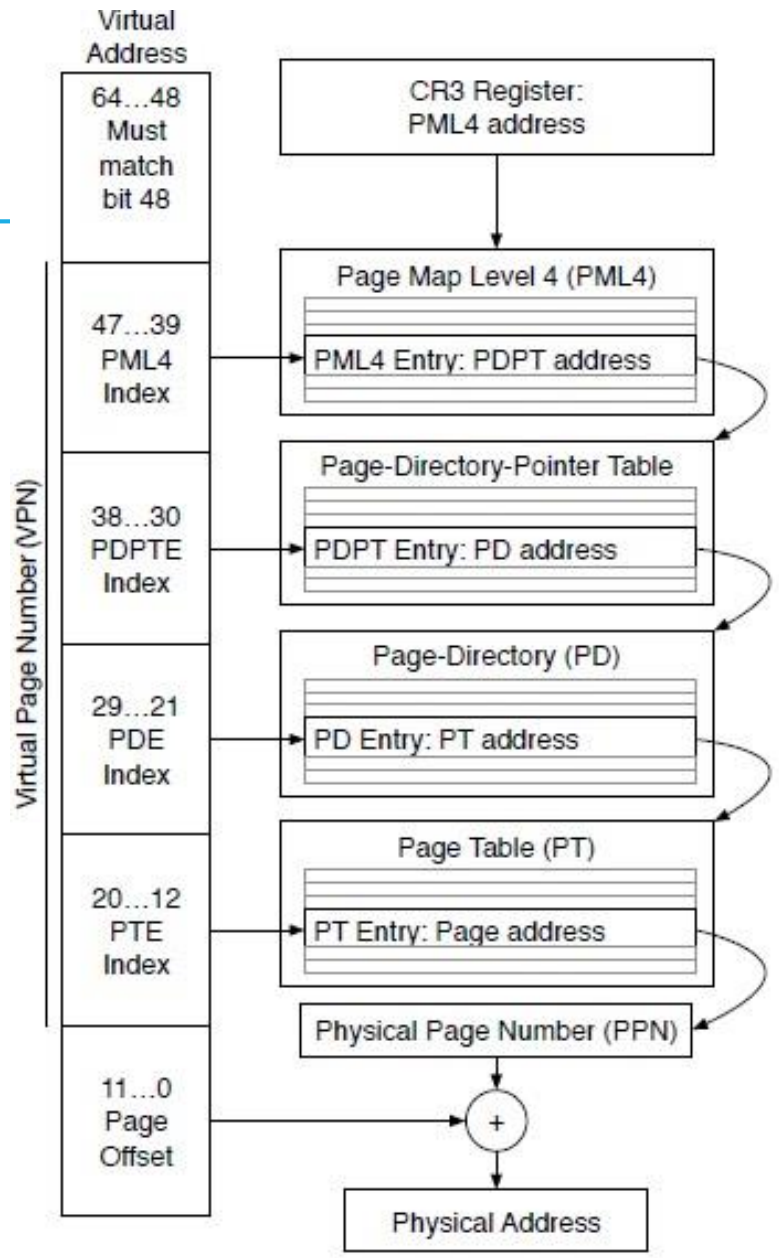


ADDRESS TRANSLATION
Concepts

Why do we need isolation ?

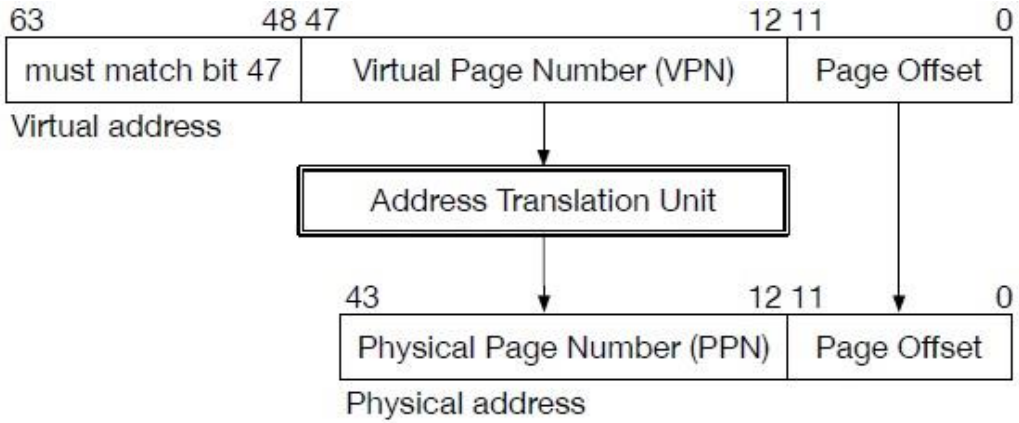
Address Translation isolates processes from each other and prevents application code from accessing memory mapped devices directly. So application's bug cannot affect the higher level privilege applications such as the OS kernel itself.

- In 64-bit OS, **48-bit virtual address is mapped to physical address.**
- **MMU (Memory Management Unit)** does the Translation process.
- [11:0] – Unchanged. These bits indicate the address within a page.
- [47:12] – Grouped into four 9-bit indexes for indexing to different hierarchical page tables.
- [47:39] – Page Map Level, [38:30] – Page Directory Pointer Table, [29:21] – Page Directory, [20:12] – Page Table.



ADDRESS TRANSLATION
VPN to PPN

- Address translation is actually the mapping of Virtual Page Number (VPN) to Physical Page Number (PPN).

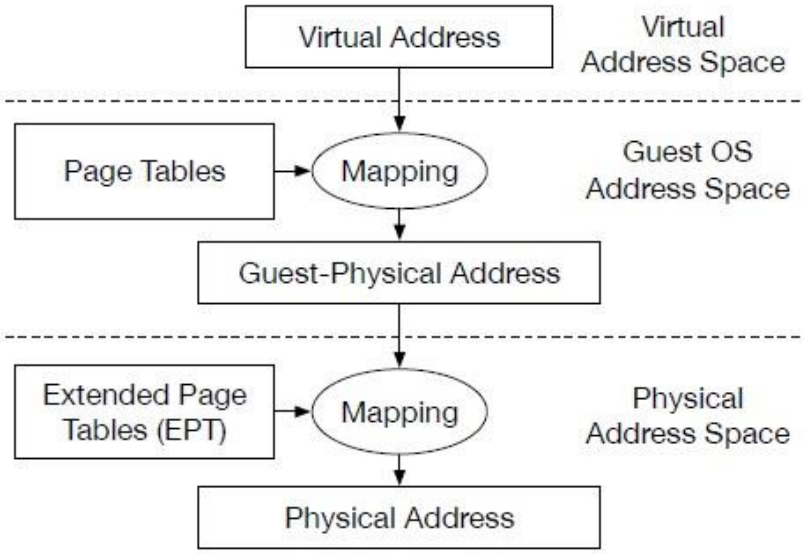


- One more advantage of using address translation is to run applications whose collective memory demand exceeds the amount of DRAM. In such cases, OS evicts infrequently used pages from DRAM to our hard-drives or SSD. This process is called **Page Swapping.**
- When an application process attempts to access a page that has been evicted, the OS "steps in" and reads the missing page back into DRAM from Disk. In order to do this, the OS might have to evict a different page from DRAM, effectively swapping the contents of a DRAM page with a disk page.

ADDRESS TRANSLATION
Virtualization

- Hypervisor runs multiple operating systems at the same time and each OS is under an impression that it owns the entire computer's DRAM. **This creates some tension !!**
  - **SOLUTION:** Introduction of Extended Page Table.

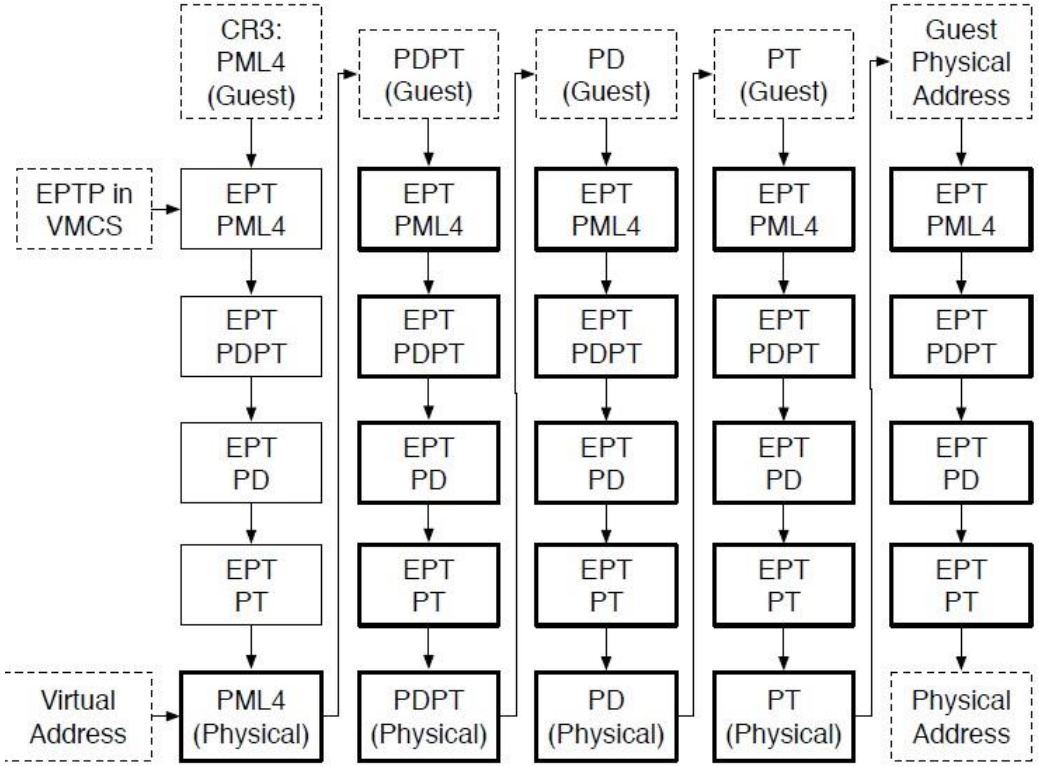
- **Extended Page Table:**
  - The hypervisor multiplexes the computer's DRAM between the operating systems' guest-physical address spaces via the second layer of address translations, which uses extended page Tables (EPT) to map guest-physical addresses to physical addresses.
  - **EPT** uses the same data structure as the page tables.
  - When caching some addresses, the guest space page table entries are traversed in horizontal while the EPT entities are traversed in vertical direction.



ADDRESS TRANSLATION
Page Table Attributes & Flags

- Each level in the kernel's page table requires a full walk of the hypervisor's extended page table (EPT). A translation requires up to 20 memory accesses (the bold boxes), assuming the physical address of the kernel's PML4 is cached.

- **FLAGS:**
  - **A –** accessed flag is set to 1 whenever MMU reads a Page table entry.
  - **D –** dirty flag is set to 1 when an entry is accessed by a memory write operations.
  - **P –** Set to 0 when ever a page is evicted from DRAM.
  - **W –** can be set to 0 to prohibit any writes.
  - **XD –** disables instruction fetches from a page.
  - **S –** supervisor flag is set to 1 to prohibit any accesses from lower privilege level applications.



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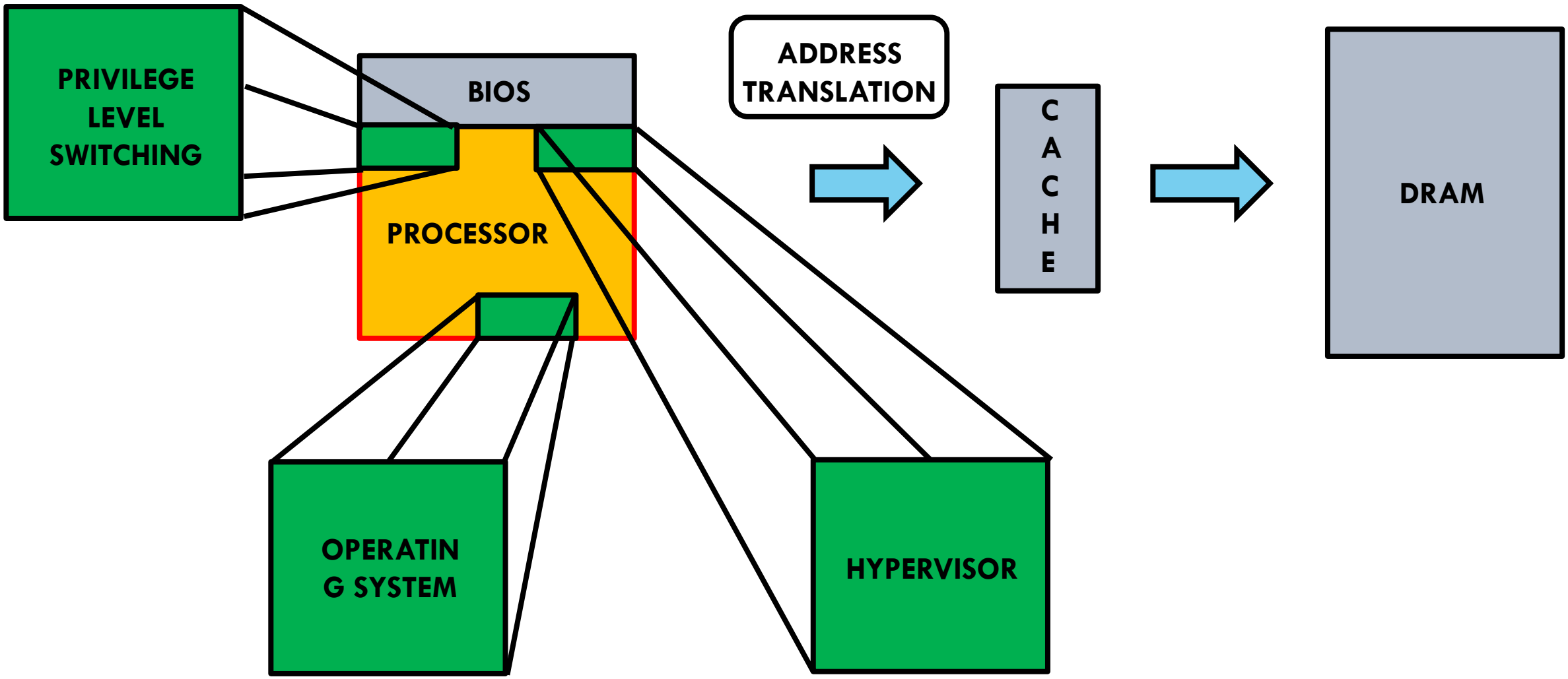
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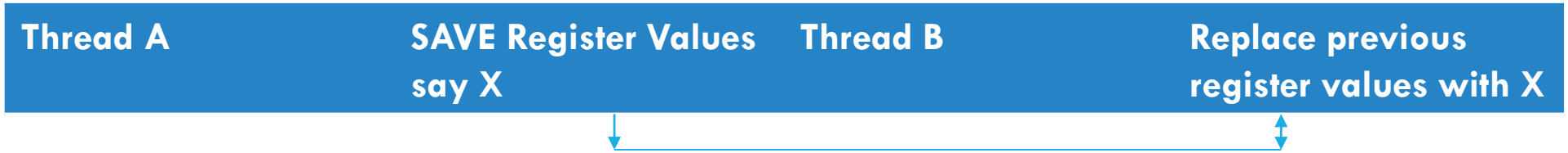
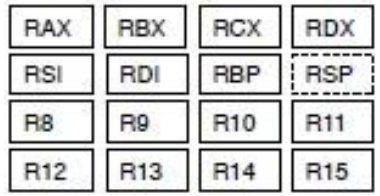
EXECUTION CONTEXTS

- 64-bit architecture uses variety of CPU registers to interact with processor features.
- **Context Switching:** It is the procedure of storing the state of an active process for the CPU when it has to start executing a new one.



- Context switching also plays a part in executing code inside secure containers, so its design has security implications. (A thread inside a secure container may be context switched out ..)
- Integers and memory addresses are stored in 16 general purpose registers (GPRs). The first 8 are the extended versions of 32-bit architecture. The other 8 are simply known as R9-R16.
- RSP: Stack pointer use **CALL/RETURN** functions like PUSH/POP.
- RIP: It has the address of the currently executing instruction.



EXECUTION CONTEXTS

- The Intel architecture provides a method for an OS kernel to save the values of feature-specific registers used by an application.
- OS kernel saves the feature specific register values for the application using **XSAVE** which takes in a feature requested bitmap **(RFBM).** It writes the register values used by the feature whose RFBM bits are set to 1.
- Figure shows some feature-specific Intel's architecture registers.
- Kernel knows which XSAVE bitmap to use for context switching.
  - Sets XCR0 register to feature bitmap.
  - CPU generates a fault if the application attempts to use features that are not enabled by XCR0.
  - **Task State Segment** (TSS) was designed to implement **hardware supported context switching.** The descriptor is stored in the **Task Register** (TR).

Feature	Registers	XCR0 bit
FPU	FP0 - FP7, FSW, FTW	0
SSE	MM0 - MM7, XMM0 - XMM15, XMCSR	1
AVX	YMM0 - YMM15	2
MPX	BND0 - BND 3	3
MPX	BNDCFGU, BNDSTATUS	4
AVX-512	K0 - K7	5
AVX-512	ZMM0_H - ZMM15_H	6
AVX-512	ZMM16 - ZMM31	7
PK	PKRU	9

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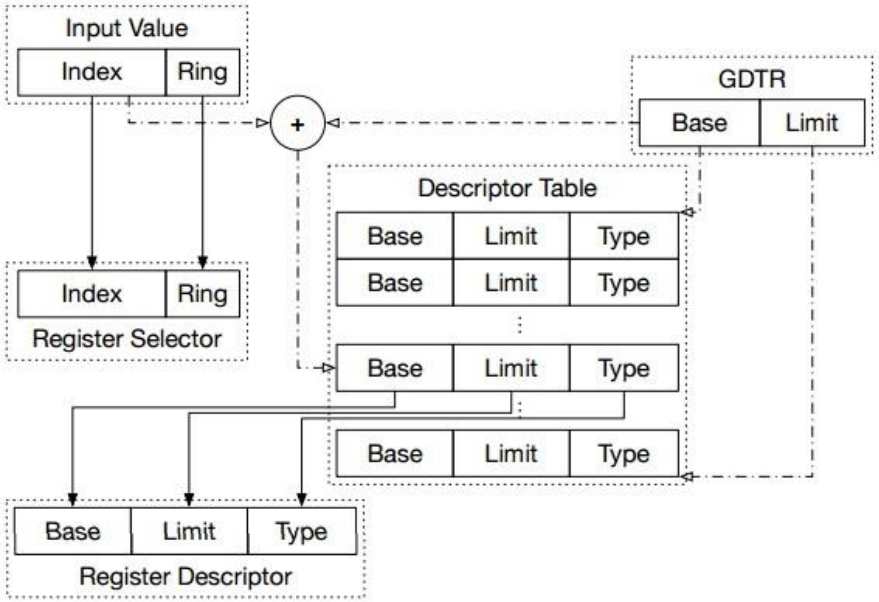
SEGMENT REGISTERS

- INTEL's architecture instructions include the implicit use of few segments which are loaded into the processor's segment registers. These registers contain the base address of these segments.
  - **CS –** Points to the currently used code segment.
  - **SS –** Points to the current stack segment.
  - **DS/ES –** Points to the current data segment or destination segment.
  - Modern OS effectively disable segmentation by putting the entire addressable space into one segment, which is loaded in CS and one data segment which is loaded in SS, DS and ES.
- These segment registers are 16 bits.
  - Each segment register has a hidden segment descriptor, which consists of a **base address, limit,** and **type information,** such as whether the descriptor should be used for executable code or data.
  - **[15:3] :** Indexing into descriptor table.
  - **[2:0] :** Privilege level (Ring number).
  - **GDT:** Global Descriptor Table (Register).



SEGMENT REGISTERS
Hardware Supported Context Switching

- Hardware supported context switching was removed from the 64-bit Intel's architecture. But **Task State Segment** (TSS) was reserved.
- Now, TSS is used for I/O map, which indicates which address space of I/O can be accessed directly from different privilege level applications e.g. Ring 3.
- Modern operating systems **do not allow** application software (Ring 3) any direct access to the I/O address space, so the kernel sets up a single TSS that is loaded into Task Register during early initialization, and used to represent all applications running under the OS.

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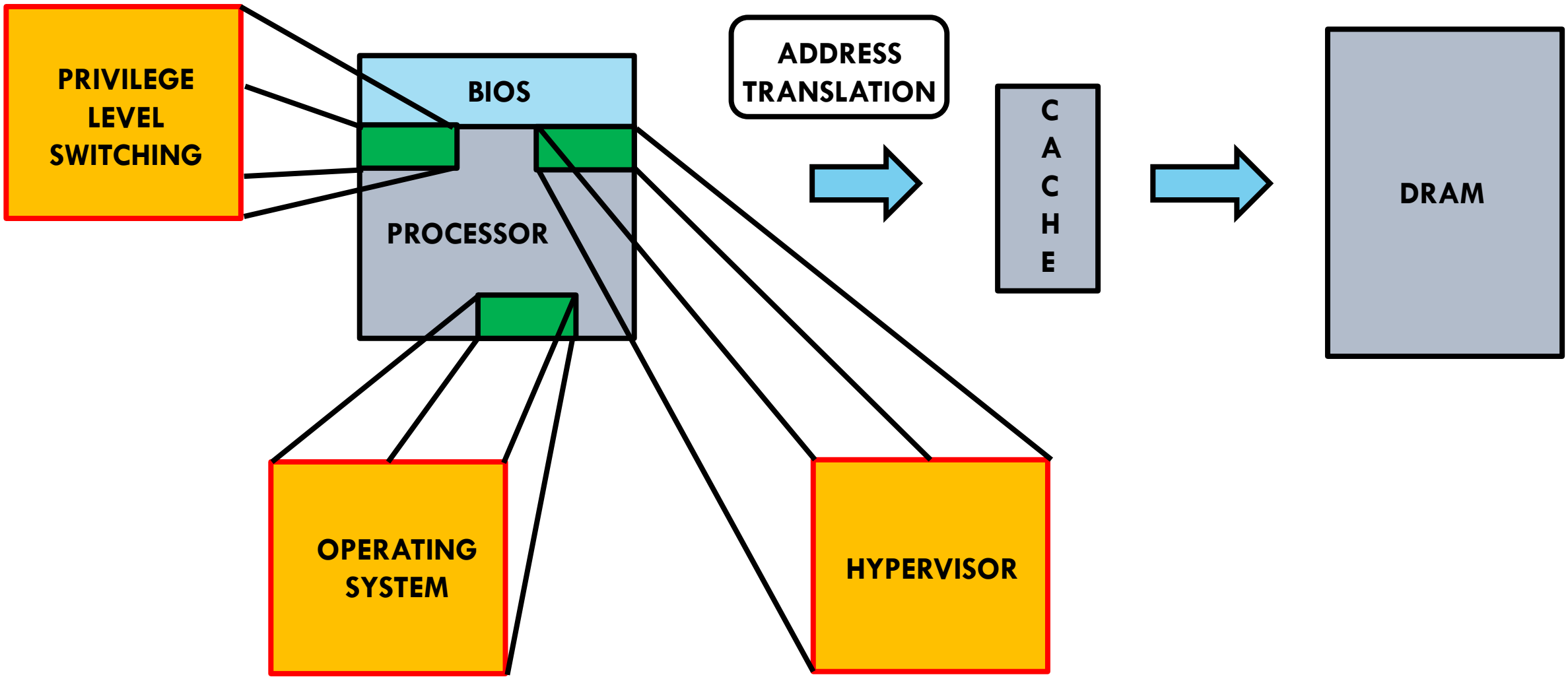
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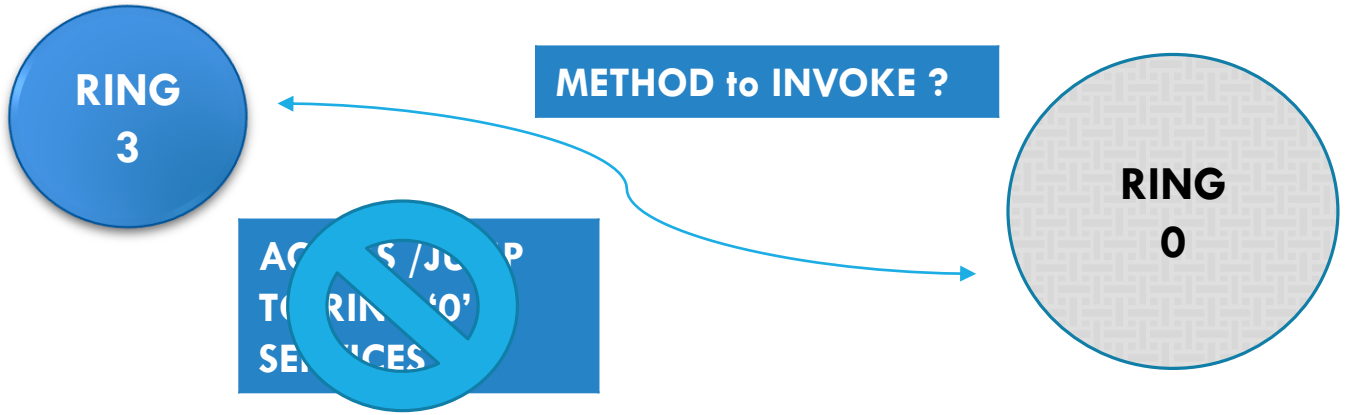
PRIVILEGE LEVEL SWITCHING

WHY?

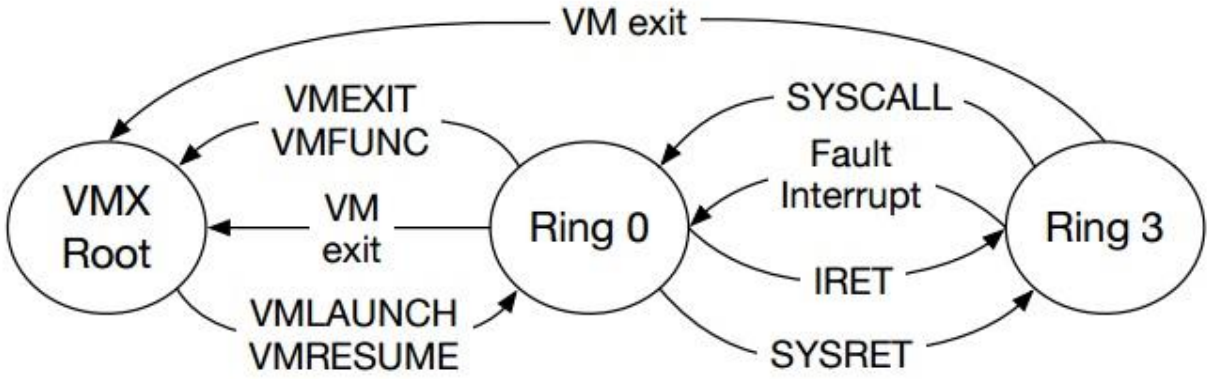
This would **compromise** the privileged software's ability to enforce **security** and **isolation** invariants.



PRIVILEGE LEVEL SWITCHING
Secure Way

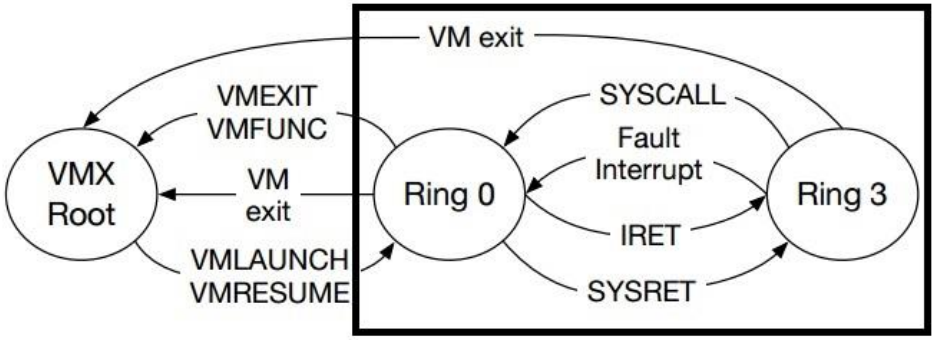
- **CASE:** An application (Ring-3) wishes to write a file to the disk, the kernel (Ring-0) must check if the application's user has access to that file. If the ring 3 code could perform an arbitrary jump in kernel space, it would be able to skip the access check.

- **Transfer of Control:**
  - Intel's architecture includes privilege-switching mechanisms used to transfer control from less privileged software to **well-defined entry points** in more privileged software. So Ring-3 can access the services of Ring-0 but to some extent, not all services.



PRIVILEGE LEVEL SWITCHING
Control Transfer from 0←→3

- **CALLS:**
  - **SYSCALL:** Ring-3 app. uses this call to gain access to specific entry points in Ring-0 region.
  - **SYSRET:** Privilege level switched back to Ring-3 from Ring-0 by jumping to the address in RCX register, which is set by SYSCALL.
- **FAULTS:**
  - When hardware exception occurs in ring-3 code, CPU performs a ring switch and calls the corresponding exception handler.
    - **#GP:** Performing disallowed operation, needs #GP handler.
    - **#PF:** when address translation encounters a page whose **P** flag is **0**, means page was evicted. This fault needs #PF handler which reads swapped out page back into DRAM.
- **IDT (Interrupt Descriptor Table):**
  - Exception handlers are a part of OS and their locations are in the first 32 entries of IST (int. vect. table) .
    - When a hardware exception occurs, the execution state may be corrupted, and the current stack cannot be relied on.
    - Therefore, the CPU first uses the handler's IDT entry to set up a known good stack. **SS** is loaded with a null descriptor, and **RSP** is set to the **IST** value to which the **IDT** entry points.

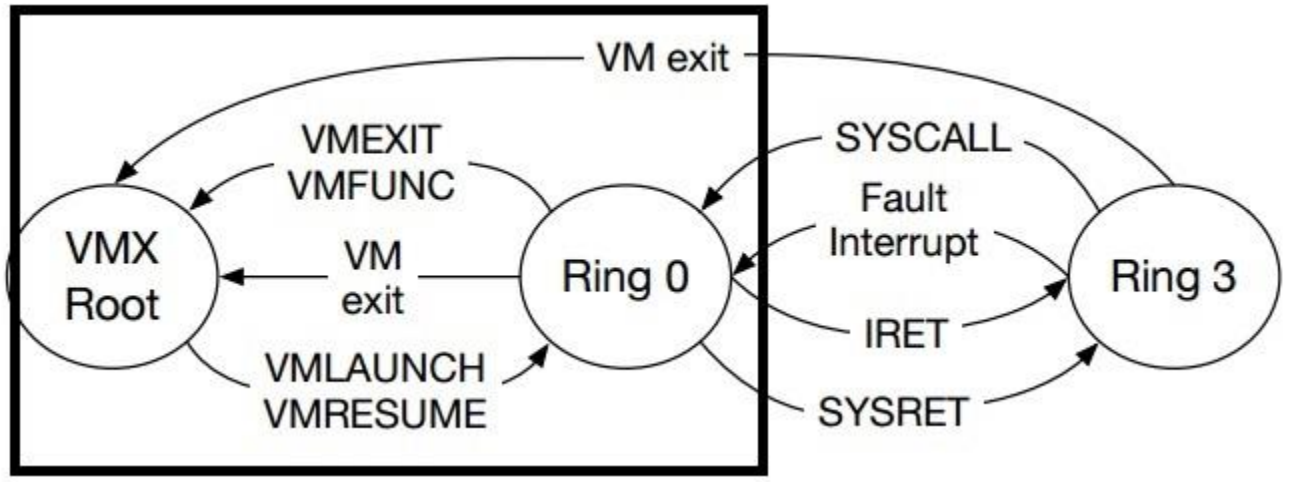


Kernel Protects IDT, using **page tables**, from **Ring-3** to access it

Field	Bits
Handler RIP	64
Handler CS	16
Interrupt Stack Table (IST) index	3

PRIVILEGE LEVEL SWITCHING
VMX Privilege Level Switching

- **Remember!** Hypervisor uses virtualization to run multiple operating systems at the same time and manages the Virtual Machines (**VM**).
  - Hypervisor creates a control structure (**VMCS**) for each operating system instance that it wishes to run.
  - When a processor encounters an exception, hypervisor performs a **VMEXIT** for the fault to be handled.
  - Example: An address translation encounters an entry in the page table with P flag set to 0. The CPU performs the exit for the page to be loaded in the table. When done, CPU calls a **VMLAUNCH** or **VMRESUME** function to resume the operation.



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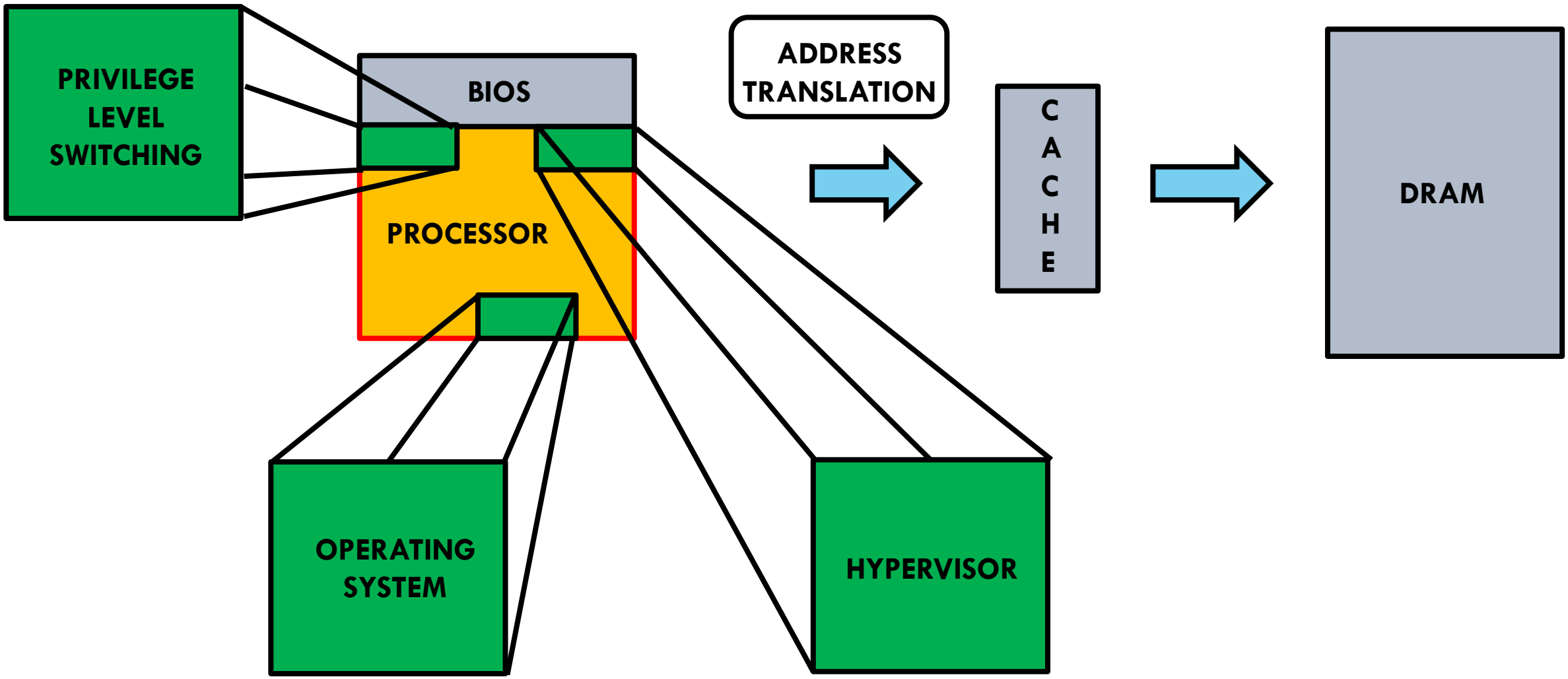
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OUT OF ORDER + SPECULATIVE EXECUTION

- Execution is not in order, but commits are always in order using a re-order buffer (ROB).
- Any CPU actions observed by an attacker match the execution order: The attacker may learn some information by comparing the observed execution order with a known program order.
- Scoreboard and Tomosulo schedulers.

#	Micro-op	Meaning
1	`LOAD RAX, RSI`	RAX ← DRAM[RSI]
2	`OR RDI, RDI, RAX`	RDI ← RDI ∨ RAX
3	`ADD RSI, RSI, RCX`	RSI ← RSI + RCX
4	`SUB RBX, RSI, RDX`	RBX ← RSI - RDX

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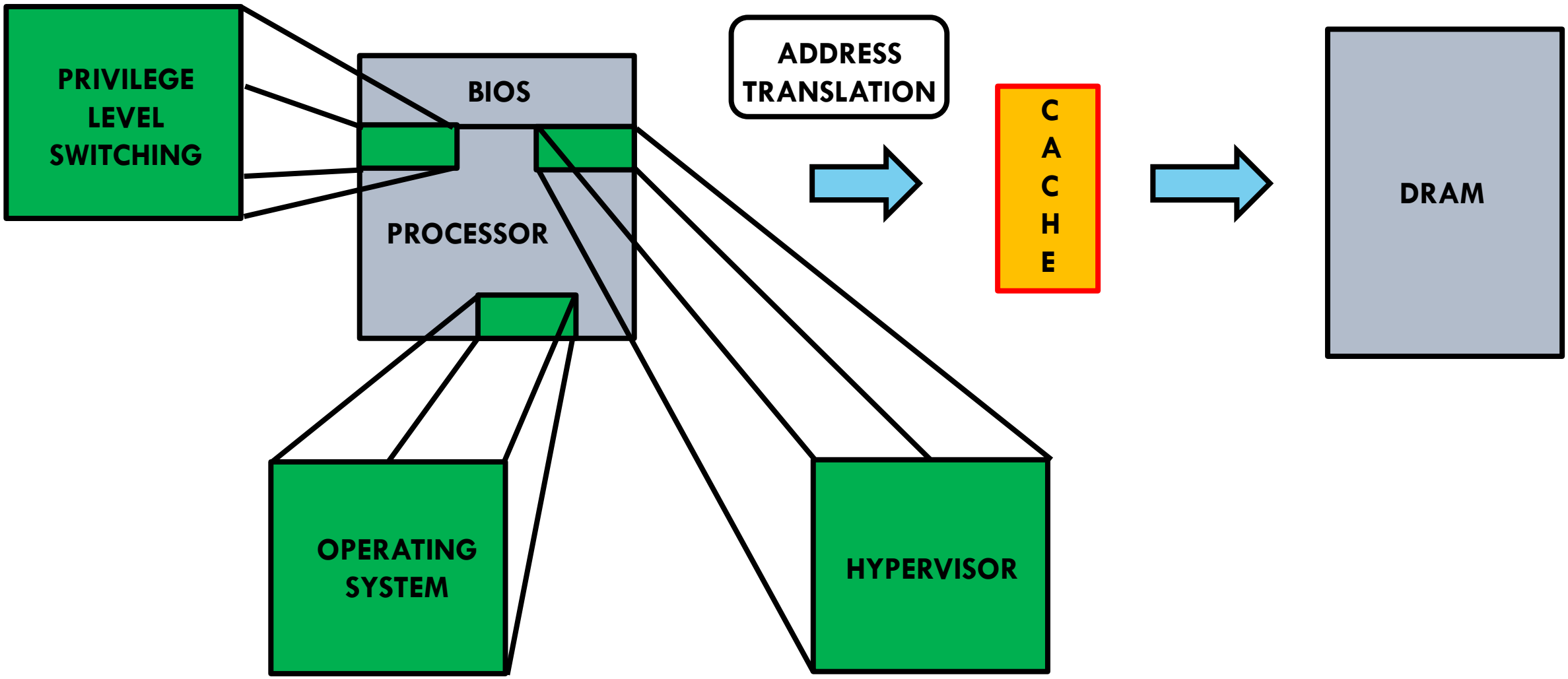
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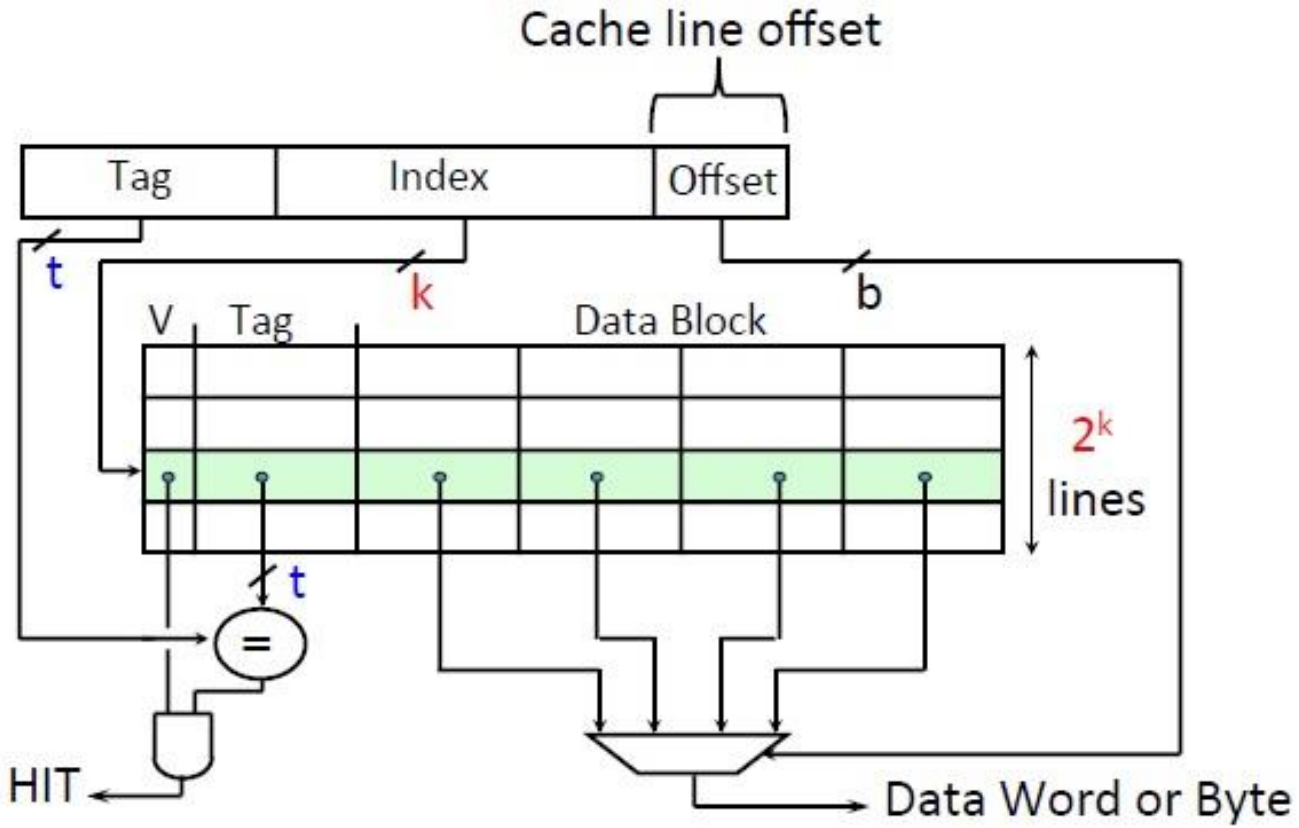
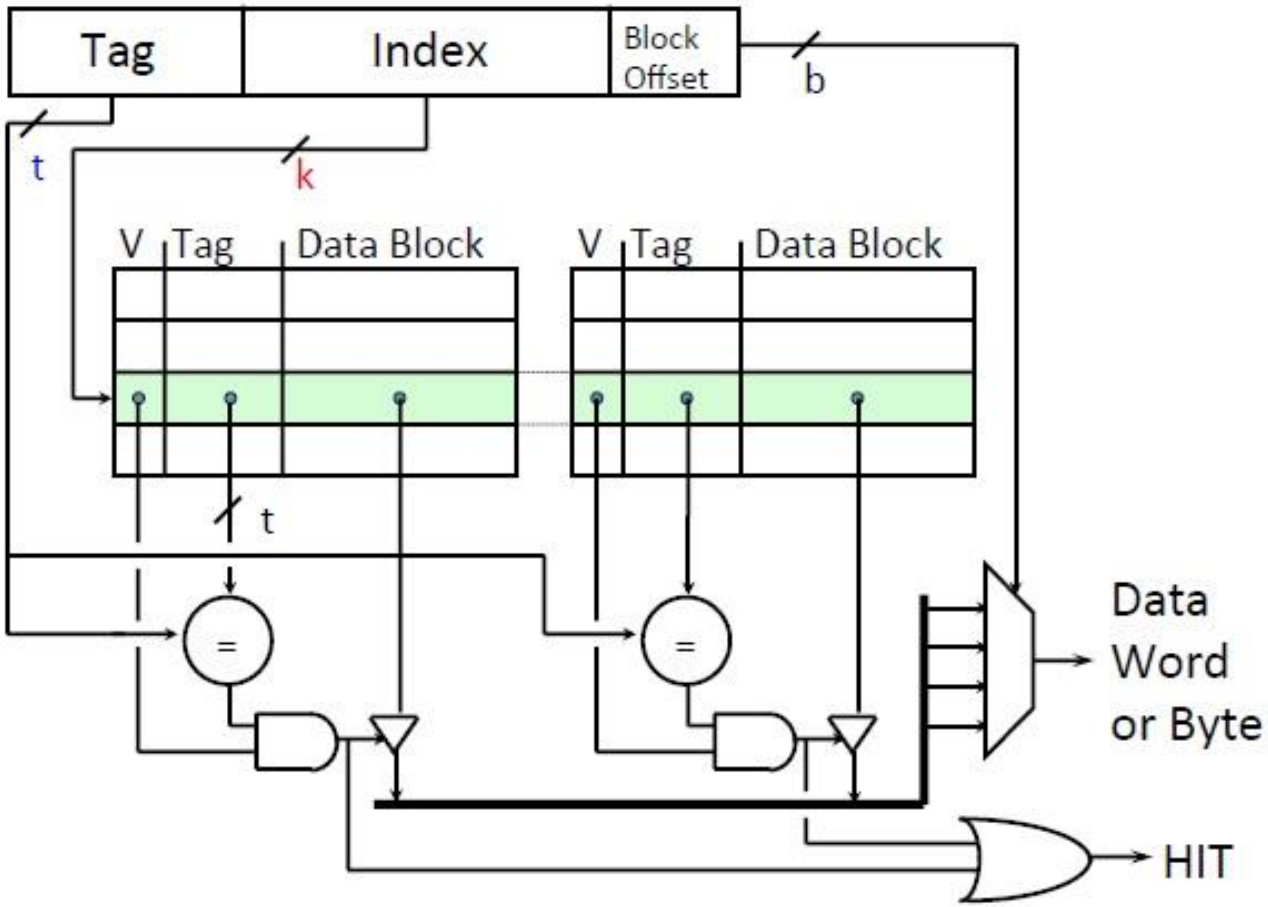
CACHES AND COHERENCE

- Set associative: A set is a group of blocks in the cache. A block is first mapped onto a set, and then the block can be placed anywhere within that set. Finding a block consists of first mapping the block address to the set and then searching the set – usually in parallel – to find the block. The set is chosen by the address of the data: Block_Address mod #sets_in_cache
- Types of Caches
  - Direct Mapped (#sets_in_cache = #cache_lines, i.e., one block per set, hence, a block is always placed in the same location)
  - 2, 4 - Way Associative (#sets_in_cache = 2, 4)
  - Fully Associative (#sets_in_cache = 1, i.e., a block can be placed anywhere)

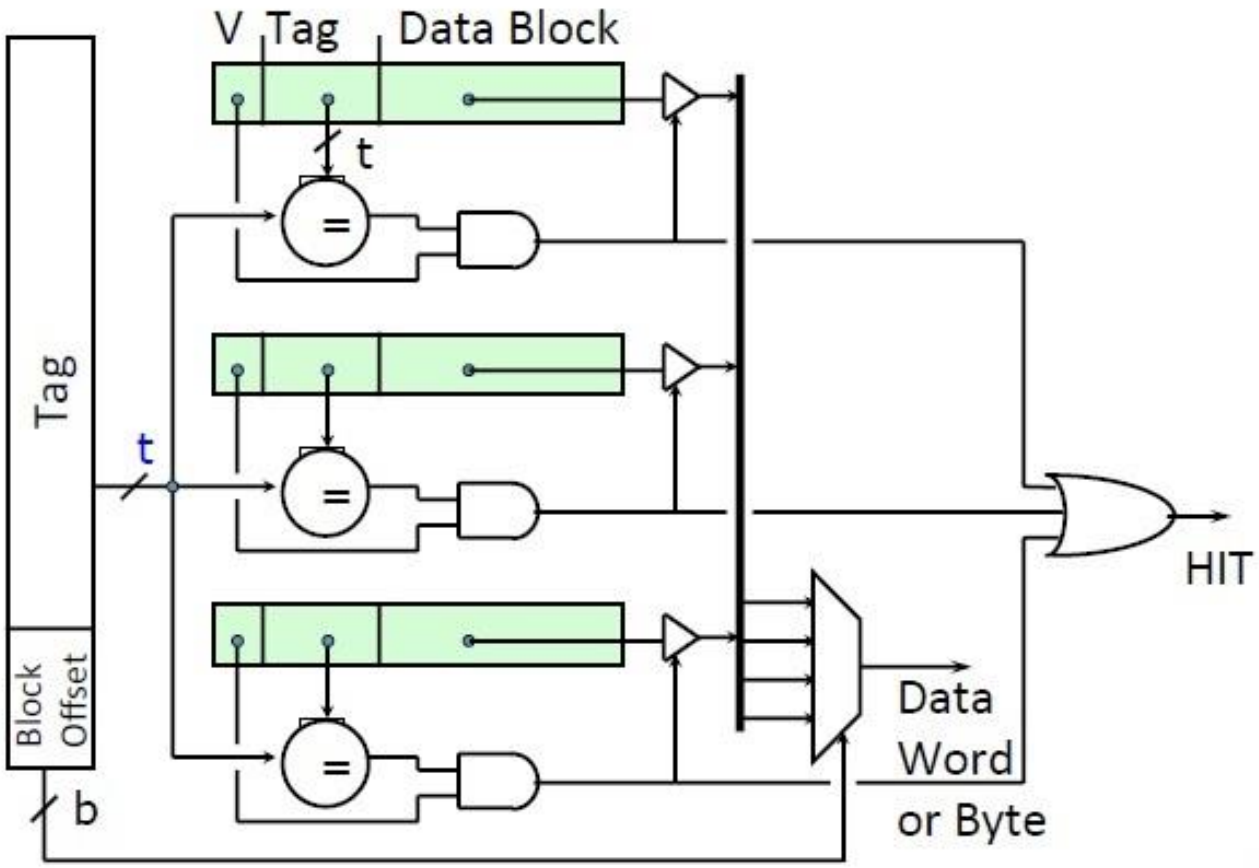
CACHES AND COHERENCE
Direct Mapped Cache



CACHES AND COHERENCE
2-Way Set Associative Cache



CACHES AND COHERENCE
Fully Associative Cache



CACHES AND COHERENCE
Cache Coherence

- Example, core 0 and core 1 both have a value ‘A = 1’ in their private caches. If core 0 updates the value of A to 5. How will core 1 know that the value of A has been changed ?
- Ensuring correctness and freshness of cache lines for each processor requires a protocol, known as cache coherence protocol.
- Cache coherence protocol introduces different states for each cache line. In MSI protocol, these states are **Modified (M), Shared (S),** and **Invalid (I).**
- When in ‘M’ state, all other cores invalidate their cache lines.
- When in ‘I’ state, the core asks for the most recently updated value. After getting it, that core changes the state of the cache line to ‘S’ state.
- Multiple reads but single write is allowed. Meaning more than one core can be in ‘S’ state at the same time but only one core at a time can be in ‘M’ state.